

This Page Is Inserted by IFW Operations
and is not a part of the Official Record

BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images may include (but are not limited to):

- BLACK BORDERS
- TEXT CUT OFF AT TOP, BOTTOM OR SIDES
- FADED TEXT
- ILLEGIBLE TEXT
- SKEWED/SLANTED IMAGES
- COLORED PHOTOS
- BLACK OR VERY BLACK AND WHITE DARK PHOTOS
- GRAY SCALE DOCUMENTS

IMAGES ARE BEST AVAILABLE COPY.

**As rescanning documents *will not* correct images,
please do not report the images to the
Image Problem Mailbox.**



Europäisches Patentamt
European Patent Office
Office européen des brevets

(11) Publication number:

0 130 160
A2

(12)

EUROPEAN PATENT APPLICATION

(21) Application number: 84850176.3

(51) Int. Cl.4: B 01 J 13/02
A 61 K 9/50

(22) Date of filing: 12.06.84

(30) Priority: 22.06.83 US 506598

(72) Inventor: Frank, Sylvan Gerald
1910 Wyandotte Road
Columbus Ohio 43212(US)

(43) Date of publication of application:
02.01.85 Bulletin 85/1

(72) Inventor: Brodin, Arne Folke
Gillestigen 29
S-151 52 Södertälje(SE)

(84) Designated Contracting States:
AT BE CH DE FR GB IT LI LU NL SE

(72) Inventor: Chen, Chih-Ming James
414 Deerfield Road, Apt 4
East Syracuse New York 13057(US)

(71) Applicant: THE OHIO STATE UNIVERSITY RESEARCH
FOUNDATION
1314 Kinnear Road
Columbus Ohio 43212(US)

(72) Inventor: Shrivastava, Ratnesh
500 W. 12th Avenue
Columbus Ohio 42210(US)

(74) Representative: Wurm, Bengt Runio et al.
Patent and Trade Mark Department AB ASTRA
S-151 85 Södertälje(SE)

(54) Small particle formation.

(57) The present invention is concerned with the formation of small particles of organic compounds whose solubility in water is *independent of temperature* and greater at a first pH than at a second pH, upon precipitation by pH change with or without a change in temperature, but in the presence of a surfactant mixture.

0130160

AX 717-1

84-06-08

1

SMALL PARTICLE FORMATION

The present invention is concerned with the formation of small particles of organic compounds upon precipitation at a selected temperature in the presence of a surfactant mixture, induced by pH change from a first pH at which 5 their solubility in water is greater to a second pH at which it is lower. In this application, a small particle refers to a particle size of less than 2 μm . The object of the invention is to provide a process for the formation of small particles of organic compounds especially pharmaceutically active compounds. 10

The rate and extent of absorption of a pharmaceutically active compound by a patient is dependent on the particle size of the compound. The administration of pharmaceutically active compounds having smaller particles makes

0130160

it possible to give a reduced dosage at lower cost and results in fewer side effects.

BACKGROUND OF THE INVENTION

From a pharmaceutical point of view, the smaller
5 the particle size of a relatively insoluble drug, the greater is its rate of solution and as a rule, the greater is its bioavailability, (J.H. Fincher, *J. Pharm. Sci.*, 57, 1825 (1968)). To this end, small particles are conventionally formed by mechanical subdivision of bulk matter or by aggregation of small molecules or ions, (D.J. Shaw, "Introduction to Colloid and Surface Chemistry" 3rd Edition, Butterworths, London, 1980, Chapter 1). The initial rate of nucleation depends on the relative degree of supersaturation of the solute, while the rate of particle growth depends on several
10 factors, including the amount of material available, the viscosity of the medium, adsorption of impurities onto the particle surface and particle-particle interaction, (D.J. Shaw, "Introduction to Colloid and Surface Chemistry", 3rd Edition, Butterworths, London, 1980, Chapter 1). The coacervation of ionic dyes with ionic surfactants has been reported,
15 (S.P. Moulik, S. Ghosh and A.R. Das, *Colloid & Polymer Sci.*, 257, 645 (1979); B.W. Barry and G.F.J. Russell, *J. Pharm. Sci.*, 61, 502 (1972)).

SUMMARY OF THE INVENTION

25 A method has now been found which is useful for forming small particles of weakly acidic and weakly basic

0130160

organic compounds upon precipitation at a selected temperature in the presence of a surfactant mixture, induced by pH change from a first pH at which their solubility in water is greater to a second pH at which it is lower. The method 5 comprises the steps of

• (a) dissolving the compound in water.

When said compound is weakly acidic, it is dissolved in the presence of sufficient base to raise the pH of the solution to said first pH, and above the pKa of the 10 compound, preferably about 2 pH units, together with an anionic surfactant which maintains its ionic condition between said first pH and said second pH and an amphoteric surfactant which is anionic at said first pH and whose cationic nature increases as the pH is changed from the 15 first pH to said second pH. When said compound is weakly basic, it is dissolved in the presence of sufficient acid to lower the pH to said first pH and below the pKa of said compound, preferably about 2 pH units, together with a cationic surfactant which maintains its ionic condition 20 between said first pH and said second pH, and an amphoteric surfactant which is cationic at said first pH and whose anionic nature increases as the pH is changed from the first pH to said second pH.

(b) stirring and titrating the solution, with 25 a suitable acid titrant (if the starting solution is basic) or a suitable basic titrant (if the starting solution is acidic) in an amount effective to alter the pH from said first pH to said second pH and thereby cause the concurrent formation of a coacervate of the surfactants, and precipitation of the compound as small particles. The said second pH 30

may be about 2 pH units above or below the pKa of the compound to precipitate the free acid, free base or the salt forms of the compound.

5 It is believed that as the pH of the solution changes, the compound's solubility is altered and a coacervate forms between the anionic or cationic surfactant (as the case may be) and the amphoteric surfactant simultaneously with the precipitation of the compound.

DETAILED DESCRIPTION OF THE INVENTION

10 This process is preferably used to form small particles of organic compounds whose solubility in water is greater at a first pH than at a second pH. Such compounds are commonly found in the pharmaceutical industry and are preferably used in small-particle form as explained above.

15 Depending on the protolytic properties of such an organic compound it can be dissolved in either an alkaline (weakly acidic compound) or acidic solution (weakly basic compound) and precipitated by the subsequent titration with either an acid or alkaline titrant, respectively. The starting pH should preferably be 2 pH units above the pKa of a weakly acid compound and preferably 2 pH units below the pKa of a weakly basic compound.

20 Suitable pharmaceutically active compounds which can be used in this process are, for example, sulfadiazine, lidocaine, salicyclic acid, felodipine, sulbactam pivoxil, chlorzoxazone, theophylline and erythromycin. Suitable amphoteric surfactants which change ionic character between the first and second pH are, for example, surfactants derived

0130160

from fatty imidazolines (Miranols®), particularly monocarboxylated compounds, such as Miranol® SM, which is a clear, aqueous, amphoteric solution, derived from 99% capric acid; the surfactant is a monocarboxylated derivative of a capryl imidazoline. Other suitable amphoteric surfactants are, for example, betaines, such as cocamidopropyl betaine, lauramido-propyl betaine; amino acid amphoteric such as disodium lauriminodipropionate; and imidazolines derived amphoteric such as Miranol® SM and other members of these general classes.

Suitable anionic surfactants which maintain their ionic condition between the first and second pH of the weakly acidic organic compounds are, the common salts of natural and synthetic organic carboxylates, sulfonates and sulfates, such as for example, sodium or potassium stearates, sodium lauryl sulfate, sodium or potassium alkyl sulfates having alkyl groups with 8-18 carbon atoms and dialkyl sodium sulfo-succinates having alkyl groups with 6-8 carbon atoms.

Suitable cationic surfactants which maintain their ionic condition between the first and second pH of the weakly basic organic compounds are common surface-active derivatives of ammonium and various amines, for example, alkyltrimethylammonium halides containing alkyl groups with 11-18 carbon atoms, alkylpyridinium halides containing alkyl groups with 8-18 carbon atoms, benzylalkyldimethylammonium halides containing alkyl groups with 8-18 carbon atoms, and alkyl-dimethylethylammonium halides containing alkyl groups with 8-18 carbon atoms.

0130160
A suitable molar ratio of the pharmaceutically active compound to amphoteric surfactant and the anionic or cationic surfactant is for example 0.15:1:1 to 4.4:1:1, up to the maximum solubilizing capacity for a particular system.

5 The alkaline solution used to dissolve the weakly acidic compounds can be, for example, sodium hydroxide or potassium hydroxide solutions. The alkaline solution should be about 0.05-5.0 N, preferably 0.05 N or 0.1 N in order to obtain a pH preferably 2 units above the pKa of the compound.

10 For dissolving the weakly basic compounds, the acidic solutions should be 0.05-5.0N, preferably 0.05N or 0.1N in order to obtain a pH preferably 2 units below the pKa of the compound.

15 The titrations are performed with stirring using a suitable acid titrant, such as hydrochloric acid to reduce the pH of the solution to anywhere below pH 9 to pH 1.5, or in the case of an alkaline titrant, to a pH anywhere above pH 2 up to pH 12 and to cause the concurrent formation of a coacervate of the surfactants and precipitation of the compounds as small particles. ..

20 The molarity of the acid titrant should be in the range 0.05-5.0N, preferably 0.1N or 1.0N, and that of the alkaline titrant should be in the range of 0.05-5.0N, preferably 0.1N or 1.0N. Higher normalities can be used as well to obtain the desired pH.

25 The titration should be preformed within the temperature range of 0-50°C, usually at about 22°C.

While the invention is described with particular reference to pharmaceutical manufacture, it should be

understood that the basic principles are not so limited. Obviously when applied to pharmaceuticals, the surfactants, acids and bases used should not leave pharmaceutically objectionable residues.

5 Example 1

Appropriate molar amounts of sulfadiazine, sodium lauryl sulfate and Miranol SM (42-44% solids by weight) as indicated in Table 1 were dissolved in sodium hydroxide solution, 0.05 N NaOH, when 0.044 M or 0.0044 M sulfadiazine was used or 0.1 N, for 0.088 M sulfadiazine. The solutions were then stirred at constant speed with a magnetic stirrer and sulfadiazine was precipitated upon dropwise titration of the solutions with 1.0 N hydrochloric acid solution.

The effect of several different composite ratios of sulfadiazine, Miranol SM and sodium lauryl sulfate on the precipitation of sulfadiazine is summarized in Table 1. As a general rule, precipitation of the sulfadiazine began when the pH reached 8.5-8.6, as indicated by increasing turbidity. Samples 1-5 represent the process of this invention while Sample A does not.

0130160

Table I
Precipitation of Sulfadiazine Upon Acidification of Alkaline
Solutions Containing Surfactants

Sample	<u>Sulfadiazine</u> : <u>Miranol</u>	<u>SM</u> : <u>Sodium Lauryl Sulfate</u>	Molar ratio	Concentration ratio	pH of appearance of turbidity	Observations of precipitate upon acidification to pH 4.
1	1 : 1 : 1	0.044M : 0.045M : 0.045M			8.5-8.6	Rod-shaped particles and needles, 1 - 12 μ m Oval-shaped particles < 1 μ m Droplets of coacervate phase entrapping some particles
2	1 : 2 : 2	0.044M : 0.09M : 0.09M			8.5-8.6	Rod- and oval-shaped particles < 1 μ m. Larger rods up to 4 μ m Droplets of coacervate phase entrapping some particles
3	2 : 2 : 2	0.088M : 0.09M : 0.09M			~8.9	small oval- or rod-shaped particles 1 μ m
4	2 : 1 : 1	0.088M : 0.045M : 0.045M			~8.9	small oval- or rod-shaped particles < 1 μ m
5	4.4 : 1 : 1	0.088M : 0.02M : 0.02M			~8.9	small oval- or rod-shaped particles < 1 μ m
A	0.1 : 1 : 1	0.0044M : 0.045M : 0.045M			6.8-7.0	large needle shaped crystals of sulfadiazine (10 - 30 μ m) of sulfadiazine (10 - 30 μ m)

WE CLAIM:

1 1. A process for forming small particles of a
2 weakly acidic organic compound whose solubility in water
3 is greater at a first pH than at a second pH which process
4 comprises:

5 (a) dissolving said compound in water in the
6 presence of sufficient base to raise the pH to said first
7 pH and above the pKa of the compound preferably about 2 pH
8 units, together with an anionic surfactant which maintains
9 its ionic condition between the first and second pH and
10 an amphoteric surfactant whose cationic nature increases
11 from the first pH to said second pH; and

12 (b) stirring and titrating the solution, with
13 a titrant effective to reduce the pH of said solution to
14 said second pH to cause the concurrent formation of a
15 coacervate of the anionic and amphoteric surfactants, and
16 precipitation of the compound as small particles.

1 2. A process for forming small particles of a
2 weakly basic organic compound whose solubility in water
3 is greater at a first pH than at a second pH which process
4 comprises:

5 (a) dissolving said compound in water in the
6 presence of sufficient acid to lower the pH to said first
7 pH and below the pKa of the compound preferably about 2 pH
8 units, together with a cationic surfactant which maintains
9 its ionic condition between the first and second pH and
10 an amphoteric surfactant whose anionic nature increases
11 from the first pH to said second pH; and

12 (b) stirring and titrating the solution, with
13 a titrant effective to raise the pH of said solution to
14 said second pH to cause the concurrent formation of a
15 coacervate of the cationic and amphoteric surfactants, and
16 precipitation of the compound as small particles.

1 3. A process according to claims 1 or 2, wherein
2 the compound is pharmaceutically active.

1 4. A process according to claim 3, wherein the
2 pharmaceutically active compound is selected from the group
3 consisting of sulfadiazine, lidocaine, salicylic acid,
4 felodipine, sulbactam pivoxil, chlorzoxazone, theophylline
5 and erythromycin.

1 5. The process according to claim 1 or 2 wherein
2 the amphoteric surfactant is selected from the group
3 consisting of imidazoline derived amphotericics, betaines
4 and amino acid amphotericics.

1 6. A process according to claim 5, wherein the
2 amphoteric surfactant is selected from the group consisting
3 of cocamidopropyl betaine, lauramidopropyl betaine, disodium
4 and lauriminodipropionate.

1 7. A process according to claim 1, wherein the
2 anionic surfactant is selected from the group consisting of
3 sodium lauryl sulfate, sodium alkyl sulfates having alkyl
4 groups containing 8-18 carbon atoms and dialkyl sodium sul-
5 fosuccinates having alkyl groups containing 6-8 carbon atoms.

1 8. A process according to claim 2, wherein the
2 cationic surfactant is selected from the group consisting
3 of alkyltrimethylammonium halides containing alkyl groups
4 containing 11-18 carbon atoms, alkylpyridinium halides con-
5 taining alkyl groups containing 8-18 carbon atoms, benzyl-
6 alkyldimethylammonium halides containing alkyl groups with
7 8-18 carbon atoms and alkyldimethylethylammonium halides
8 containing alkyl groups containing 8-18 carbon atoms.

1 9. A process according to claims 1 or 2, wherein
2 the ratio of compound to amphoteric surfactant and the
3 cationic or anionic surfactant is about 0.15:1:1 to 4.4:1:1,
4 and up to the maximum solubilizing capacity for a particular
5 system.